

September 23, 2025

To,
BSE Limited ("BSE")
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai 400 001
BSE Scrip Code: **543712**

To
National Stock Exchange of India Limited ("NSE")
The Listing Department,
Exchange Plaza, Bandra-Kurla Complex,
Bandra (East), Mumbai – 400051
NSE Symbol: **AFSL**

Subject: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations")

Dear Sir/Ma'am,

Pursuant to Regulation 30 of the SEBI Listing Regulations, please note that Securities and Exchange Board of India (SEBI) has issued an administrative warning letter to the Company alleging non-compliance with provisions of Regulation 45(3) of the SEBI Listing Regulations.

The details of the above letter, as required under Schedule III to the SEBI Listing Regulations read with SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 and Industry Standards on Regulation 30 dated February 25, 2025, issued by SEBI along with a copy of the letter received by the Company is annexed as **Annexure 1 and 2** respectively.

The Company declares that the said information is true and correct to the best of our knowledge and belief.

Request you to kindly take the above information on record.

The above intimation is also available on the website of the Company www.abansfinserv.com

Thanking You,

For Abans Financial Services Limited
(Formerly known as Abans Holdings Limited)

Sheela Gupta
Company Secretary and Compliance Officer

Encl: as above

ANNEXURE 1

Disclosure under Regulation 30 and Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”) read with SEBI Master Circular No. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 and Industry Standards on Regulation 30 dated February 25, 2025, issued by SEBI regarding receipt of communication from regulatory, statutory, enforcement or judicial authority

Sr. No.	Particulars	Details
1.	Name of the listed company	Abans Financial Services Limited (formerly known as Abans Holdings Limited)
2.	Type of communication received	Administrative warning letter received from SEBI
3.	Date of receipt of communication	September 22, 2025
4.	Authority from whom communication received	Securities and Exchange Board of India (“SEBI”)
5.	Brief summary of the material contents of the communication received, including reasons for receipt of the communication	The said warning letter alleges non-compliance of Regulation 45(3) of the SEBI Listing Regulations on the grounds that the explanatory statement to the notice seeking shareholders' approval for change in name did not include a certificate from a Practicing Chartered Accountant stating compliance with conditions provided in Regulation 45(1) of the SEBI Listing Regulations.
6.	Period for which communication would be applicable, if stated	NA
7.	Expected financial implications on the listed company, if any	No financial implications
8.	Details of any aberrations/non-compliances identified by the authority in the communication	As stated in point 5 above
9.	Details of any penalty or restriction or sanction imposed pursuant to the communication	NA
10.	Action(s) taken by listed company with respect to the communication	The Company had placed the certificate from Practicing Chartered Accountant as required under Regulation 45(3) of SEBI Listing Regulations for ratification at the 16 th Annual General Meeting (AGM) of the Company held on August 21, 2025.
11.	Any other relevant information	NA

**For Abans Financial Services Limited
(Formerly known as Abans Holdings Limited)**

**Sheela Gupta
Company Secretary and Compliance Officer**



भारतीय प्रतिभूति और विनिमय बोर्ड
Securities and Exchange Board of India

General Manager
Supervision Enforcement and Complaints (SEC-1)
Corporation Finance Department (CFD)

SEBI/HO/CFD/SEC-1/OW/P/2025/25112/1

September 22, 2025

Abans Financial Services Limited

36, 37, 38A, Nariman Bhavan,
Floor 3, Backbay Reclamation,
Nariman Point, Mumbai
Maharashtra, 400021.

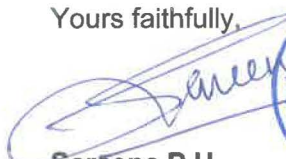
Dear Madam,

Kind Attention: Smt. Sheela Gupta, Company Secretary & Compliance Officer

Sub: Administrative Warning - Violation of provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, ("LODR Regulations") in the matter of Abans Financial Services Limited (formerly known as Abans Holdings Ltd)

1. This has reference to the application submitted by Abans Financial Services Limited (formerly known as Abans Holdings Ltd) ("the Company") to BSE for change in name.
2. In this regard, while processing the said application, BSE has observed that the company had failed to include a certificate from a practicing chartered accountant stating compliance with conditions provided in Regulation 45(1) of the LODR Regulations, in the explanatory statement to the notice seeking shareholders' approval for change in name in accordance with the provisions of regulation 45(3) of the LODR Regulations.
3. The above non-compliance has been viewed seriously. Accordingly, the Company is hereby warned and advised to be careful in future to avoid recurrences of such lapses. Any repetition of the such violation in future will be viewed seriously and appropriate enforcement action would be initiated in accordance with the provisions of the SEBI Act, 1992, the Rules, the Regulations or Circulars issued thereunder.
4. You are also advised to place this communication before your board of directors in the upcoming board meeting and forward their comments to SEBI, as to whether they are satisfied with the corrective steps taken in ensuring future compliance.

Yours faithfully,


Sareena P U



Copy to -

1. BSE Limited (via email)